

Robert Greene Sterne Edward J. Kessler Jorge A. Goldstein David K.S. Cornwell rt W. Esmond Michael V. Messinger Judith U. Kim Timothy J. Shea, Jr. Patrick E. Garrett Heidi L. Kraus Edward W. Yee Albert L. Ferro* Donald R. Banowit Peter A. Jackman Jeffrey S. Weaver Kendrick P. Patterson fincent L. Capuano Eldora Ellison Floyd Lee Beaston erly N. Reddick

Joseph S. Ostroff Frank R. Cottingham Christine M. Lhulier Rae Lynn Prengaman George S. Bardmes Daniel A. Klein* Jason D. Eisenberg Michael D. Specht Andrea J. Kamage Tracy L. Muller* LuAnne M. Yuricek* John J. Figueroa Ann E. Summerfield Tiera S. Coston*

Aric W. Ledford* Victoria S. Rutherford Eric D. Hayes Registered Patent Agents •

Karen R. Markowicz

Helene C. Carlson Gaby L. Longsworth

Matthew J. Dowd

Aaron L. Schwartz Mary B. Tung Katrina Y. Pei Quach

Bryan L. Skelton Robert A. Schwartzman

Timothy A. Doyle Jennifer R. Mahalingappa

Teresa A. Colella Jeffrey S. Lundgren

Nancy J. Leith

<u>Of Counsel</u> Kenneth C. Bass III Evan R. Smith

*Admitted only in Maryland * Admitted only in Virginia •Practice Limited to Federal Agencies

December 17, 2003

WRITER'S DIRECT NUMBER: (202) 772-8831 INTERNET ADDRESS: JWEAVER@SKGR.COM

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Art Unit 2686 RECEIVED

DEC 1 8 2003

Technology Center 2600

U.S. Utility Patent Application Re:

Appl. No. 10/086,250; Filed: March 4, 2002

Applications of Universal Frequency Translation

Inventors:

Sorrells et al.

Our Ref:

1744.0140006

Sir:

Transmitted herewith for appropriate action are the following documents:

- Information Disclosure Statement; 1.
- Form PTO-1449 citing documents (58 pages); 2.
- Copies of the documents cited on Form PTO-1449 (AA1-AA55, AB1-AB55, 3. AC1-AC55, AD1-AD55, AE1-AE55, AA1-AF55, AG1-AG54, AH1-AH54, AI1-AI54, AJ1-AJ23, AK1-AK23, AL1-AL22, AM1-AM22, AN1-AN58, AO1-AO58, AP1-AP58, AQ1-AQ58, AR1-AR58);
- ParkerVision IDS References Disk 1; 4.
- ParkerVision IDS References Disk 2; and 5.
- 6. Return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are

Commissioner for Patents December 17, 2003 Page 2

necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Jeffrey S. Weaver

Attorney for Applicants

Registration No. 45,608

Mrs. W.

JSW/asl Enclosures

SKGF_DC1:210254.1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Sorrells et al.

Appl. No. 10/086,250

Filed: March 4, 2002

Applications of Universal For:

Frequency Translation

Confirmation No.: 1369

Art Unit: 2686

Examiner: Ly, Nghi H.

Atty. Docket: 1744.0140006

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DEC 1 8 2003

Technology Center 2600

Information Disclosure Statement

Commissioner for Patents Washington, D.C. 20231

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure

requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. In addition to providing hard copies of the documents as required by applicable rules (see box 7 below), Applicants herewith provide two Compact Discs labeled Disc 1 and Disc 2 having stored thereon searchable electronic copies (in PDF format) of the documents listed on the PTO-1449. More specifically, Disc 1 contains electronic copies of documents AA1-AR1, AA2-AR2, AA3-AR3, AA4-AR4, AA5-AR5, AA6-AR6, AA7-AR7, AA8-AR8, AA9-AR9, AA10-AR10, AA11-AR11, AA12-AR12, AA13-AL13, AN13-AR13, AA14-AI14, AN14-AR14, AA15-AI15, AN15-AR15, AA16-AI16, AN16-AR16, AA17-AI17, AN17-AR17, AA18-AI18, AN18-AR18, AA19-AI19, AN19-AR19, AA20-AI20, AN20-AR20, AA21-AI21, AN21-AR21, AA22-AI22, AN22-AR22, AA23-AI23, AN23-AR23, AA24-AI24, AN24-AR24, AA25-AI25, AN25-AR25, AA26-AI26, AN26-AR26, AA27-AI27, AN27-AR27, AA28-AI28, AN28-AR28, AA29-AI29, AN29-AR29, AA30-AI30, AN30-AR30, AA31-AI31, AN31-AR31, AA32-AI32, AN32-AR32, AA33-AI33, AN33-AR33, AA34-AI34, AN34-AR34, AA35-AI35, AN35-AR35, AA36-AI36, AN36-AR36, AA37-AI37, AN37-AR37, AA38-AI38, AN38-AR38, AA39-AI39 and AN39-AR39, and Disc 2 contains electronic copies of documents AA40-AI40, AA41-AI41, AA42-AI42, AA43-AI43, AA44-AI44, AA45-AI45, AA46-AI46, AA47-AI47, AA48-AI48, AA49-AI49, AA50-AI50, AA51-AI51, AA52-AI52, AA53-AI53, AA54-AD54, AM10, AJ11-AM11, AJ12-AM12, AJ13-AM13, AJ14-AM14, AJ15-AM15, AJ16-AM16, AJ17-AM17, AJ18-AM18, AJ19-AM19, AJ20-AM20, AJ21-AM21, AJ22-AM22, AJ23, AK23, AP50-AR50, AN51-AR51, AN52-AR52, AN53-AR53, AN54-AR54, AN55-AR55, AN56-AR56, AN57-AR57 and AN58-AR58. Documents AE54-AI54 ad AA55-AF55 have not yet been scanned. The file names on the CDs correspond to the identifiers on the PTO-1449. It is noted that the CDs are being provided in addition to hard copies of the documents (as applicable) for the convenience of the Examiner.

Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

Applicants provide the following comments regarding the documents:

Documents AD1, AL1, AO1, AC2, AF2, AG2, AI2, AC5, AG5, AB6, AF7, AI7, AB8, AF8, AG9, AK9, AO9, AO11, AA12, AE14, AN14, AB15, AE15, AH15, AO15, AF16, AD18, AG18, AB20, AC20, AQ20, AA22, AH22, AI23, AC24, AF26, AC30, AH31, AC32, AA33, AR33, AH34, AP35 and AO48 were included with Petitions to Make Special pleadings in co-owned related U.S. Patent Nos. 6,061,551, 6,061,555, 6,049,706 and 6,091,940.

Documents AM4, AH6, AL7, AJ9, AM9, AC17, AA20, AG20, AG21, AA24, AD24, AG24, AI31, AA32, AG34, AD36 and AQ37 were cited in searches performed at Applicants' request by the European Patent Office's Searching Authority in the above-referenced co-owned related patents.

Documents AA6, AD6, AO6, AE7, AE8, AA11, AE11, AH11, AI12, AB13, AD13, AH13, AC14, AG14, AE16, AB17, AF19, AD20, AN21, AG23, AH27, AI27, AI28, AH29, AG30, AD37, AR40, AO49 and AQ49 were suggested or identified by potential licensees.

Documents AH5, AH17, AD21, AB34, AE34, AB36, AI36 and AI38 were cited by the Examiner in the above-referenced co-owned related patents.

Documents AR21, AN22-AR22, AN23-23, AN24-AR24, AN25-AR25, AN26-AR26, AN27-AR27, AN28-AR28, AN29-AR29, AN30-AR30, AN31-AR31, AN32-AR32 and AN33-AP33 are press releases issued by assignee ParkerVision, Inc.

Documents AP6-AR6 and AN7-AP7 are copies of Declarations (including Exhibits) made by Messrs. Bultman, Cook, Holtz, Looke, Moses, Parker, and Sorrells, filed in the above-referenced co-owned related patents.

Documents AJ1, AL9, AJ10, AA19, AC25, AB30 and AF32 were cited in search reports in the corresponding foreign applications of the above-referenced co-owned related patents.

Documents AK9, AC17, AD36 and AD40 were cited in a search report in related PCT Appl. No. PCT/US00/21359, filed August 4, 2000, entitled "Wireless Local Area Network (WLAN) Using Universal Frequency Translation Technology," directed to related subject matter.

Documents AM10, AJ11, AK11 and AE40 were cited in a communication issued by the International Preliminary Examination Authority in related PCT Appl. No. PCT/US00/01108, filed January 19, 2000, entitled "Frequency Translation and Embodiments Thereof Such as the Family Radio Service," directed to related subject matter.

Documents AI7, AJ9, AK9, AG20, AG21, AB30 and AI43 were cited in a written opinion in related PCT Appl. No. PCT/US00/23923, filed October 18, 1999, entitled "Applications of Frequency Translation," directed to related subject matter.

Documents AA44, AL11, AM11 and AQ50 were cited in a communication issued by the International Searching Authority in related PCT Appl. No. PCT/US00/09911, filed April 14, 2000, entitled "Method And System For Down-converting an Electromagnetic Signal, And Transforms For Same," directed to related subject matter.

Documents AB44 and AC44 were cited by an Examiner in related U.S. Patent Appl. No. 09/293,342, filed April 16, 1999, entitled "Method and System for Down-Converting Electromagnetic Signals Including Resonant Structures for Enhanced Energy Transfer," directed to related subject matter.

Documents AD44-AI44 and AA45-AD45 were cited by an Examiner in related U.S. Patent Appl. No. 09/261,129, filed March 3, 1999, entitled "Applications of Universal Frequency Translation," directed to related subject matter.

Documents AE45, AF45, AJ12 and AK12 were cited in a search report in related PCT Appl. No. PCT/US00/27555, filed October 6, 2000, entitled "DC Offset, Re-radiation, and I/Q Solutions Using Universal Frequency Translation Technology," directed to related subject matter.

Documents AG45, AH45, AL12 and AM12 were cited in a search report in related PCT Appl. No. PCT/US00/34771, filed January 21, 2000, entitled "Phase Comparator Using Undersampling," directed to related subject matter.

Documents AI45, AJ13-AL13 and AB46 were cited in a search report issued in related PCT Appl. No. PCT/US00/27281, filed October 4, 2000, entitled "Frequency Converter and Method," directed to related subject matter.

Document AA46 was cited by an Examiner in related U.S. Patent Appl. No. 09/293,580, filed April 16, 1999, entitled "Method and System for Frequency Up-Conversion with a Variety of Transmitted Configurations," directed to related subject matter.

Document AC46 was cited by an Examiner in related U.S. Patent Appl. No. 09/670,831, filed September 28, 2000, entitled "Universal Frequency Translation, Embodiments Thereof, and a Web Site and Web Pages Directed to Same," directed to related subject matter.

Document AD46 was cited by an Examiner in related U.S. Patent Appl. No. 09/293,095, filed April 16, 1999, entitled "Method and System for Down-Converting an

Electromagnetic Signal Having Optimized Switch Structures," directed to related subject matter.

Documents AD35, AE46-AI46 and AA47 were cited by an Examiner in related U.S. Patent Appl. No. 09/293,342, filed April 16, 1999, entitled "Method and System for Down-Converting Electromagnetic Signals Including Resonant Structures for Enhanced Energy Transfer," directed to related subject matter.

Documents AJ1, AK9-AM9, AG28, AB30, AA32, AN52 and AP55 were cited by an Examiner in related European Patent Appl. No. 99954905.8, filed October 18, 1999, entitled "Integrated Frequency Translation and Selectivity with a Variety of Filter Embodiments," directed to related subject matter.

Documents AM13, AJ14, AK14, AM21, AJ22 and AQ51 were cited by an Examiner in related Japanese Patent Application No. 2000-577,765, filed June 21, 2000, entitled "Method and System for Ensuring Reception of a Communications Signal," directed to related subject matter.

Documents AL14, AM14, AJ15 and AK15 were cited by an Examiner in related Japanese Patent Application No. 2000-577,761, filed June 20, 2000, entitled "Method and System for Frequency Up-conversion," directed to related subject matter.

Documents AL15, AM15, AJ16-AM16, AJ17-AM17 and AK23 were cited by an Examiner in related Japanese Patent Application No. 2000-577,764, filed June 21, 2000, entitled "Applications of Frequency Translation," directed to related subject matter.

Documents AJ18-AL18, AB47, AC47 and AE47-AG47 were cited by an Examiner in related U.S. Patent Appl. No. 09/489,675, filed January 24, 2000, entitled

"Bar Code Scanner Using Universal Frequency Translation Technology for Up-Conversion and Down-Conversion," directed to related subject matter.

Documents AC24 and AD47 were cited by an Examiner in related U.S. Patent Appl. No. 09/376,509, filed August 18, 1999, entitled "Method and System for Ensuring Reception of a Communications Signal," directed to related subject matter.

Documents AH47, AI47, AA48-AE48, AB49, AF51, AG51, AC52, AG54 and AF55 are co-owned patents which are directed to related subject matter.

Documents AI43, AH47 and AH48 were cited by an Examiner in related U.S. Patent Appl. No. 09/293,283, filed April 16, 1999, entitled "Integrated Frequency Translation and Selectivity with a Variety of Filter Embodiments," directed to related subject matter.

Documents AK19 and AF48 were cited in a search report in related PCT Appl.

No. PCT/US01/15111, filed October 5, 2001, entitled "Method and Apparatuses Relating to a Universal Platform Module and Enabled by Universal Frequency Translation Technology," directed to related subject matter.

Documents AA38 and AG48 were cited by an Examiner in related U.S. Patent Appl. No. 09/476,091, filed January 3, 2000, entitled "Image-Reject Down-Converter and Embodiments Thereof, Such as the Family Radio Service," directed to related subject matter.

Documents AI48 and AA49 were cited by an Examiner in related U.S. Patent Appl. No. 09/567,963, filed May 10, 2000, entitled "Frequency Synthesizer Using Universal Frequency Translation Technology," directed to related subject matter.

Documents AL13, AM18, AJ19 and AI45 were cited in a search report in related PCT Appl. No. PCT/US00/31659, filed November 20, 2000, entitled "Phase Shifting Applications of Universal Frequency Translation," directed to related subject matter.

Documents AB48 and AC49 were cited by an Examiner in related U.S. Patent Appl. No. 09/489,675, filed January 24, 2000, entitled "Bar Code Scanner Using Universal Frequency Translation Technology for Up-Conversion and Down-Conversion," directed to related subject matter.

Documents AD49-AI49 and AE51 were cited by an Examiner in related U.S. Patent Appl. No. 09/476,092, filed January 3, 2000, entitled "Analog Zero IF FM Decoder and Embodiments Thereof, Such as the Family Radio Service," directed to related subject matter.

Documents AK9, AM9, AE15, AL19, AM19, AB46 and AA50 were cited in a search report in related PCT Appl. No. PCT/US01/08969, filed March 22, 2001, entitled, "Integrated Frequency Translation and Selectivity with a Gain Control Functionality, and Applications Thereof," directed to related subject matter.

Documents AJ16 and AJ20 were cited by an Examiner in related Japanese Patent Appl. No. 2000-577,764, filed June 21, 2000, entitled "Applications of Universal Frequency Translation," directed to related subject matter.

Documents AK20, AL20, AJ21, AK21, AL21, AE42, AP56 and AQ56 were cited in a search report in related PCT Appl. No. PCT/US01/12086, filed April 13, 2001, entitled, "Frequency Converter," directed to related subject matter.

Document AB50 was cited by an Examiner in related U.S. Patent Appl. No. 09/376,509, filed August 18, 1999, entitled "Method and System for Ensuring Reception of a Communications Signal," directed to related subject matter.

Documents AC50-AE50, AG50, AI50 and AC51 were cited by an Examiner in related U.S. Patent Appl. No. 09/476,330, filed January 3, 2000, entitled, "Multi-Mode, Multi-Band Communication System," directed to related subject matter.

Document AF50 was cited by an Examiner in related U.S. Patent Appl. No. 09/567,963, filed May 10, 2000, entitled, "Frequency Synthesizer Using Universal Frequency Translation Technology," directed to related subject matter.

Documents AH50, AA51 and AB51 were cited in by an Examiner in related U.S. Patent Appl. No. 09/526,041, filed March 14, 2000, entitled, "DC Offset, Re-radiation, and I/Q Solutions Using Universal Frequency Translation Technology," directed to related subject matter.

Documents AH51 and AN58-AP58 were cited by an Examiner in related U.S. Patent Appl. No. 09/567,977, filed May 10, 2000, entitled, "Optical Down-converter Using Universal Frequency Translation Technology," directed to related subject matter.

Documents AK9, AE15, AK22-AM22, AA27, AC32, AE45, AI51, AA52 and AQ58 were cited in a search report in related PCT Appl. No. PCT/US01/15555, filed May 16, 2001, entitled, "Apparatus, System, and Method for Down-Converting and Up-Converting Electromagnetic Signals," directed to related subject matter.

Documents AB52 and AJ23 were cited in an Invitation to Pay Additional Fees in PCT Appl. No. PCT/US01/43077, filed November 14, 2001, entitled "Method and

Apparatus for a Parallel Correlator and Applications Thereof," directed to related subject matter.

Documents AD52-AG52 were cited in by an Examiner in related U.S. Patent Application No. 09/986,764, filed November 9, 2001, entitled "Method and Apparatus for Reducing DC Offsets in a Communication System," directed to related subject matter.

Documents AH52, AI52, AA53 and AB53 were cited in a search report in related PCT Application No. PCT/US02/35861, filed November 8, 2002, entitled "Method and Apparatus for Reducing DC Offsets in a Communication System," directed to related subject matter.

Documents AC53-AI53 were cited by an Examiner in related U.S. Patent Application No. 09/476,093, filed January 3, 2000, entitled "Family Radio System with Multi-Mode and Multi-Band Functionality," directed to related subject matter.

Documents AA54-AD54 were cited in a search report in related PCT Application No. PCT/US03/16403, filed May 27, 2003, entitled "Method and Apparatus for DC Offset Removal in a Radio Frequency Communication Channel," directed to related subject matter.

Documents AE54 and AF54 were cited by an Examiner in related U.S. Patent Application No. 09/550,642, filed April 14, 2000, entitled "Method and System for Down-converting an Electromagnetic Signal, and Transforms for Same," directed to related subject matter.

Documents AB48, AD48, AH54, AI54 and AA55-AC55 were cited by an Examiner in related U.S. Patent Application No. 09/548,923, filed April 13, 2000,

entitled "Method and System for Frequency Conversion with Modulation Embodiments," directed to related subject matter.

Documents AD55 and AE55 were cited by an Examiner in related U.S. Patent Application No. 09/543,867, filed April 5, 2000, entitled "Automated Meter Reader Applications of Universal Frequency Translation," directed to related subject matter.

The other documents in the PTO-1449 do not fall within the above categories.

It is noted that some of these documents could be classified in more than one of the above categories.

This statement should not be construed as a representation that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

Applicants have checked the appropriate boxes below.

- □ 1. Statement under 37 C.F.R. 1.704(d). Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this information disclosure statement.
- ■2. Filing under 37 C.F.R. § 1.97((b). This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No statement or fee is required.
- □ 3. Filing under 37 C.F.R. § 1.97(c). This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection, or Notice of Allowance, or an action that otherwise closes prosecution in the application.
 - □ a. Statement under 37 C.F.R. § 1,97(e)(1). I hereby state that each item of information contained in this Information Disclosure Statement

was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).

- □ b. Statement under 37 C.F.R. § 1,97(e)(2). I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).
- □ c. Attached is our Check No. _____ in the amount of \$ ____ in payment of the fee under 37 C.F.R. § 1.17(p).
- □ 4. Filing under 37 C.F.R. § 1.97(d) This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Enclosed find our Check No. ______ in the amount of \$ _____ in payment of the fee under 37 C.F.R. § 1.17(p); in addition:
 - □ a. Statement under 37 C.F.R. § 1,97(e)(1). I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).
 - □ b. Statement under 37 C.F.R. § 1,97(e)(2). I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R.

- § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).
- □ 5. The document(s) was/were cited in a search report by a foreign patent office in a counterpart foreign application. Submission of an English language version of the search report that indicates the degree of relevance found by the foreign office is provided in satisfaction of the requirement for a concise explanation of relevance. 1138 OG 37, 38.
- 6. Concise explanations of the relevance of the non-English language documents AJ1, AK1, AJ6, AK7, AJ8-AL8, AK11-AM11, AJ12, AM13, AJ14-AM14, AJ15-AM15, AJ16-AM16, AJ17-AM17, AJ18-AL18, AJ20-AL20, AK21, AM21, AJ22, AK23, AQ50 and AQ51 appear below:
 - Document AJ1 (DE 42 37 692 C1) appears to be a receiver for a digital radio signal. The corresponding U.S. Patent No. 5,493,721 is enclosed as document AG28.
 - Document AK1 (EP 0 035 166 A1) appears to describe a digitized receiver. A copy of the English language abstract of document AK1 is enclosed as document AQ8.
 - Document AJ6 (EP 0 785 635 A1) appears to describe a method and apparatus for frequency diversity transmission using a plurality of uncorrelated carriers. A copy of the English language abstract of document AJ6 is enclosed as document AP8.
 - Document AK7 (FR 2 743 231 A1) is the corresponding French application of document AJ6 (EP 0 785 635 A1), which is described above.
 - Document AJ8 (JP 2-39632) appears to describe a transmitter for frequency diversity. A copy of the English language abstract of document AJ8 is enclosed as document AO8.
 - Document AK8 (JP 2-131629) appears to describe a transmitter-receiver for frequency diversity. A copy of the English language abstract of document AK8 is enclosed as document AN8.

- Document AL8 (JP 2-276351) appears to describe an FSK demodulating circuit.

 A copy of the English language abstract of document AL8 is enclosed as document AR7.
- Document AK11 (FR 2245130) appears to describe a converter. A partial English language translation of document AK11 is enclosed as document AP50.
- Document AL11 (DE 3541031) appears to describe a method and device for demodulating high-frequency modulated signals. An English translation of document AL11 is enclosed as document AR50.
- Document AM11 (EP 0 732 803) appears to describe a procedure and device for demodulation by sampling. An English translation of document AM11 is enclosed as document AN51.
- Document AJ12 (DE 19735798) appears to describe a transceiver. An English translation of document AJ12 is enclosed as document AP51.
- Document AM13 (JP 56-114451) appears to describe a system for diversity radio transmission. The corresponding U.S. Patent No. 4,363,132 is enclosed as document AF8.
- Document AJ14 (JP 8-32556) appears to describe a data transmitter-receiver. A copy of the English language abstract of document AJ14 is enclosed as document AO52.
- Document AK14 (JP 8-139524) appears to describe a frequency converting circuit and radio communication device. A copy of the English language abstract of document AK14 is enclosed as document AP52.
- Document AL14 (JP 59-144249) appears to describe a pulse signal transmission system. A copy of the English language abstract of document AL14 is enclosed as document AQ52.
- Document AM14 (JP 63-54002) appears to describe a microwave burst signal generator which incorporates a FET frequency multiplier. A copy of the English language abstract of document AM14 is enclosed as document AR52.

- Document AJ15 (JP 6-237276) appears to describe a quadrature modulator. A copy of the English language abstract of document AJ15 is enclosed as document AN53.
- Document AK15 (JP 8-23359) appears to describe a digital quadrature modulation device. A copy of the English language abstract of document AK15 is enclosed as document AO53.
- Document AL15 (JP 47-2314) appears to describe a demodulator. An English language translation of document AL15 is enclosed as document AP53.
- Document AM15 (JP 58-7903) appears to describe a switched capacitor modulator. A partial English language translation of document AM15 is enclosed as document AQ53.
- Document AJ16 (JP 58-133004) appears to describe an amplitude detector. A copy of the English language abstract of document AJ16 is enclosed as document AR53.
- Document AK16 (JP 60-58705) appears to describe a frequency converting circuit. A copy of the English language abstract of document AK16 is enclosed as document AN54.
- Document AL16 (JP 4-123614) appears to describe a level converting circuit. A copy of the English language abstract of document AL16 is enclosed as document AO54.
- Document AM16 (JP 4-127601) appears to describe a frequency conversion circuit. A copy of the English language abstract of document AM16 is enclosed as document AP54.
- Document AJ17 (JP 5-175730) appears to describe a time division direct receiver. A copy of the English language abstract of document AJ17 is enclosed as document AQ54.
- Document AK17 (JP 5-175734) appears to describe an FM demodulator. A copy of the English language abstract of document AK17 is enclosed as document AR54.
- Document AL17 (JP 7-154344) appears to describe a receiver for receiving modulated carrier signals and an IQ mixer/demodulator using it's

- receiving constitution. A copy of the English language abstract of document AL17 is enclosed as document AN55.
- Document AM17 (JP 7-307620) appears to describe a bottom detection circuit.

 A copy of the English language abstract of document AM17 is enclosed as document AO55.
- Document AJ18 (JP 55-66057) appears to describe a bar-code detection circuit.

 A copy of the English language abstract of document AJ18 is enclosed as document AQ55.
- Document AK18 (JP 63-65587) appears to describe a wireless light pen device.

 A copy of the English language abstract of document AK18 is enclosed as document AR55.
- Document AL18 (JP 63-153691) appears to describe a data transfer for a semiconductor data carrier system. A copy of the English language abstract of document AL18 is enclosed as document AN56.
- Document AJ20 (JP 60-130203) appears to describe a frequency converter. A copy of the English language abstract of document AJ20 is enclosed as document AO56.
- Document AK20 (DE 196 27 640 A1) appears to describe a mixer. Document AK20 is a counterpart German application of U.S. Patent No. 5,680,078, which was cited in Applicants' Information Disclosure Statement filed on September 6, 2002 in connection with the above-captioned application.
- Document AL20 (EP 0 087 336 A1) appears to describe a transistorized mixer for microwave transmitters. The granted version of document AL20 is cited as document AM20 (EP 0 087 336 B1) and contains an English-language version of the claims.
- Document AK21 (FR 2 669 787 A1) appears to describe a symmetrical super high frequency mixer. A copy of the English-language abstract of document AK21 is enclosed as document AR56.
- Document AM21 (JP 61-30821) appears to describe a squelch device. A copy of the English-language abstract of document AL21 is enclosed as document AP57.

- Document AJ22 (JP 5-327356) appears to describe a frequency converter. A copy of the English-language abstract of document AJ22 is enclosed as document AQ57.
- Document AK23 (JP 9-36664) appears to describe a frequency conversion circuit. A copy of the English-language abstract of document AK23 is enclosed as document AR58.
- Document AQ50 (Fest *et al.*) appears to discuss analog-digital converters. An English translation of document AQ50 is enclosed as document AO51.
- Document AQ51 (Miki et al.) appears to describe modulation systems. A partial English-language translation of document AQ51 is enclosed as document AR51.
- ■7. Copies of documents AE45-AI45, AA46-AI46, AA47-AI47, AA48-AI48, AA49-AI49, AA50-AI50, AA51-AI51, AA52-AI52, AA53-AI53, AA54-AI54, AA55-AF55, AJ12-AM12, AJ13-AM13, AJ14-AM14, AJ15-AM15, AJ16-AM16, AJ17-AM17, AJ18-AM18, AJ19-AM19, AJ20-AM20, AJ21-AM21, AJ22-AM22, AJ23, AK23, AP51-AR51, AN52-AR52, AN53-AR53, AN54-AR54, AN55-AR55, AN56-AR56, AN57-AR57 and AN58-AR58 are enclosed. Copies of the remaining documents listed were cited by the Patent Office or submitted to the Patent Office in Information Disclosure Statements that comply with 37 C.F.R. § 1.98(a)-(c) in Application No. 09/261,129, filed March 3, 1999, now U.S. Patent No. 6,370,371, which is relied upon for an earlier filing date under 35 U.S.C. § 120. Thus, copies of these documents are not attached. 37 C.F.R. § 1.98(d).

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Jeffrey S. Weaver

Attorney for Applicants

Registration No. 45,608

Date: 12-17-03

1100 New York Avenue, N.W. Suite 600 Washington, D.C. 20005-3934 (202) 371-2600

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ATTY. DOCKET NO. 1744.0140006

APPLICATION NO. 10/086,250

APPLICANTS Sorrells et al.

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| | AF13 | 1 | | | | Mars | · · · · · · · · · · · · · · · · · · · | | | | . 0 1 0000 | | |
| | AG13 | | | 09/19 | | | akoshi <i>et al.</i> | | Te | chn | plogy Ce | rter 2600 | |
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| _ | Al13 | 4,79 | 1,584 | 12/19 | | | venkamp, Jr. | | <u> </u> | | | | |
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| | AE14 | | | 03/19 | 89 | Yest | er, Jr. et al. | | | υŧ | C 1 8 2 0 | do3 — — | |
| | AF14 | | | 04/19 | 989 | Christopher | | | | | | | |
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| | AB15 | + | 71,987 | 989 | Kaw | Kawase | | | ECE! | VED | |
| | AC15 | 1 | 35,587 | 12/19 | 989 | Wied | and et al. | | | | |
| | AD15 | + | 35,756 | 12/19 | | + | anes <i>et al</i> . | | | DEC 1 8 | 2003 |
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| | AF15 | _ | 90,302 | 12/19 | | Muil | | | Tech | nology Ce | hter 2600 |
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| | AH15 | | 93,341 | | | 1 | | | - | + | |
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| | AC19 | 5,09 | 95,533 | 03/1 | 992 | Lope | Loper et al. | | R | tCEI | VED_ | |
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| | AA20 | 5,13 | 36,267 | 08/19 | 992 | Cabo | ot | | | | | | |
| | AB20 | 5,14 | 10,705 | 08/19 | 992 | Kosı | iga | | ום | CEN | VED | | |
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| | AD20 | 5,15 | 51,661 | 09/19 | 992 | Cald | Caldwell et al. | | | BEC 1 8 | รกกร | | |
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| | AA21 | 5,2 | 12,827 | 05/19 | 993 | Mes | zko <i>et al.</i> | | | | | |
| | AB21 | 5,2 | 14,787 | 05/19 | 993 | Kark | ota, Jr. | | | | | |
| | AC21 | 5,22 | 20,583 | 06/19 | 993 | Solo | mon | | | | | |
| | AD21 | 5,22 | 20,680 | 06/19 | 993 | Lee | Lee | | BECEIVE | | | |
| | AE21 | 5,22 | 22,144 | 06/19 | 993 | While | cehart | | 111001900 | | | |
| | AF21 | 5,23 | 30,097 | 07/19 | 993 | Curri | ie et al. | | DEC 1 8 2003 | | | |
| | AG21 | 5,23 | 39,686 | 08/19 | 993 | Dow | ney | | | | | |
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| | AB22 | 5,25 | 1,232 | 10/19 | 993 | Nona | ami | | | | |
| | AC22 | 5,26 | 60,970 | 11/19 | 993 | Henry et al. | | | | | IED |
| | AD22 | 5,26 | 3,194 | 11/19 | 993 | Raga | an | | K | | AED |
| | AE22 | 5,26 | 3,196 | 11/19 | 993 | Jasp | per | _ | | -010 | 2003 Inter 2600 |
| | AF22 | 5,26 | 67,023 | 11/19 | 993 | Kaw | asaki | | | DECTO | 2003 |
| | AG22 | 5,27 | 8,826 | 01/19 | 994 | Mur | ohy et al. | | Table | nalogy C | nter 2600 |
| | AH22 | 5,28 | 32,023 | 01/19 | 994 | Scar | гра | | Tech | liblogy o | I Local |
| | Al22 | 1 | | 02/19 | 994 | Scha | aub | | | | |
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| | | | | + | | Tagu | | | | Co | tor 2600 | | |
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| | AI23 | 5,33 | 9,459 | 08/1994 | | | | 1 | | | | | |
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| | AD24 | 5,37 | 5,146 | 12/19 | 994 | Chal | mers | | 111 | OLI | |
| | AE24 | 5,37 | 9,040 | 01/19 | 995 | Mizo | moto <i>et al</i> . | | ח | EC 1 8 2 | b03 |
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| | Al24 | 1 | 0,084 | 03/1995 Scarpa | | | | | | | |
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| | AJ | | | | | | | | | | Yes No |
| | AK | | | | | | | | | | Yes No |
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| | AR | <u>24</u> | Press Releas Page (July 2 | | | ranted | New Patent," Parkervi | sion Ma | rketing and M | lanufacturing | Headquarters, 1 |
| EXAMINER | | |] | | | | | | DATE CONS | IDERED | |

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ATTY. DOCKET NO. APPLICATION NO. 10/086,250 1744.0140006 DEC 1 7 2003 FORM PTO-1449 APPLICANTS TRADEMAN Sorrells et al. ATION DISCLOSURE STATEMENT GROUP FILING DATE 2686 March 4, 2002 **U.S. PATENT DOCUMENTS EXAMINER FILING DATE** DATE CLASS SUB-NAME DOCUMENT INITIAL **CLASS** NUMBER 5,434,546 07/1995 Palmer AA26 Mohindra **AB26** 5,438,692 08/1995 Dent et al. 08/1995 AC26 5,444,415 Ishikawa et al. AD26 5,444,416 08/1995 nec 1 8 2003 Heck et al. 08/1995 AE26 5.444.865 AF26 5,446,421 08/1995 Kechkaylo Technology Center 2600 Mattila et al. 08/1995 AG26 5,446,422 09/1995 Ohmori et al. AH26 5,448,602 Lawton 5,451,899 09/1995 A126 FOREIGN PATENT DOCUMENTS **EXAMINER TRANSLATION** CLASS SUB-COUNTRY DATE DOCUMENT NUMBER INITIAL CLASS Yes AJ No Yes AK No Yes AL No Yes AM No OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Press Release, "Parkervision's Cameraman Personal Locator Camera System Wins Telecon XV Award," 26 AN Parkervision Marketing and Manufacturing Headquarters, 2 Pages (November 1, 1995). Press Release, "Parkervision, Inc. Announces Purchase Commitment From VTEL Corporation," Parkervision AO 26 Marketing and Manufacturing Headquarters, 1 Page (February 26, 1996). Press Release, "ParkerVision, Inc. Announces Fourth Quarter and Year End Results," Parkervision Marketing AΡ <u> 26</u> and Manufacturing Headquarters, 2 Pages (February 27, 1996). Press Release, "ParkerVision, Inc. Expands its Product Line," Parkervision Marketing and Manufacturing AΩ <u>26</u> Headquarters, 2 Pages (March 7, 1996). Press Release, "ParkerVision Files Patents for its Research of Wireless Technology," Parkervision Marketing AR 26 and Manufacturing Headquarters, 1 Page (March 28, 1996). DATE CONSIDERED **EXAMINER EXAMINER**: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in

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| | AB27 | 5,454,009 | 09/19 | 95 | Fruit | et al. | | | |
| | AC27 | 5,463,356 | 10/19 | | Palm | er | DE | CEIV | ED |
| | AD27 | 5,463,357 | 10/19 | | Hobo | en | П | OF1 A | |
| | AE27 | 5,465,071 | 11/19 | 995 | Koba | Kobayashi <i>et al</i> . | | C 1 8 20 | 03 |
| | AF27 | 5,465,410 | 11/19 | | | Hiben et al. | | | |
| | AG27 | 5,465,415 | | 11/1995 | | Bien | | ogy Cent | ter 2600 |
| | AH27 | 5,471,162 | 11/19 | | McE | wan | 100 | 777 | |
| | Al27 | 5,479,120 | 12/19 | | McE | | | | |
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| | AJ | | | | | | | | Yes No |
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| | AA28 | 5,47 | 9,447 | 12/1 | 995 | Cho | v et al. | | | |
| | AB28 | 5,48 | 3,193 | 01/1 | 996 | Kenr | nedy et al. | | | |
| | AC28 | 5,48 | 3,549 | 01/1 | 996 | Weir | berg et al. | -R | HCE | VED_ |
| | AD28 | 5,48 | 3,691 | 01/1 | 996 | Heck | cet al. | | | |
| | AE28 | 5,49 | 0,173 | 02/1 | 996 | While | ehart et al. | | DEC 1 8 | 2003 |
| | AF28 | 5,49 | 3,581 | 02/1 | 996 | Your | ng et al. | | | - tor 0600 |
| | AG28 | 5,49 | 3,721 | 02/1996 | | Reis | | Tech | nnology U | enter 2600 |
| | AH28 | 5,49 | 5,200 | 02/1 | 996 | Kwa | n et al. | | | |
| | A128 | 5,49 | 5,202 | 02/1 | 996 | Hsu | | | | |
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| | AN | <u>28</u> | Marketing an | d Man | ufacturing H | leadqu | nounces Second Quart arters, 3 Pages (Augus | t 1, 1996). | | |
| | AO | <u>28</u> | Press Releas Marketing an | e, "Pa d Man | rkervision, I ufacturing H | nc. An leadqu | nounces Third Quarter arters, 2 Pages (Octob | and Nine Months F er 29, 1996). | inancial Resu | lts," Parkervision |
| | AP | <u>28</u> | | | | | rVision Sign Reseller A (October 30, 1996). | greement," Parkerv | rision Marketi | ng and |
| | AQ | <u>28</u> | Press Releas Pages (Janua | e, "CL ary 20, | .l and Parke .1997). | rVision | Bring Enhanced Ease | -of-Use to Videocor | iferencing," C | LI/Parkervision, 2 |
| | AR | <u>28</u> | | | | | nounces Fourth Quarte ages (February 27, 199 | | sults," Parken | vision Marketing |
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Page 29 of 58 APPLICATION NO. ATTY. DOCKET NO. 10/086,250 1744.0140006 FORM PTO-1449 APPLICANTS Sorrells et al. TION DISCLOSURE STATEMENT GROUP FILING DATE & TRADE! 2686 March 4, 2002 **U.S. PATENT DOCUMENTS EXAMINER** DATE NAME **CLASS** SUB-**FILING DATE** DOCUMENT INITIAL **CLASS** NUMBER **AA29** 02/1996 Jovanovich et al. 5,495,500 03/1996 **AB29** 5,499,267 Ohe et al. Thompson et al. AC29 5,500,758 03/1996 AD29 05/1996 Fajen et al. 5,517,688 **Pinckley** 05/1996 AE29 5,519,890 AF29 5,523,719 06/1996 Longo et al. Technology Center 2600 Kroeger et al. AG29 06/1996 5,523,726 McEwan AH29 5,523,760 06/1996 07/1996 Ishigaki A129 5,539,770 **FOREIGN PATENT DOCUMENTS EXAMINER CLASS** SUB-TRANSLATION DOCUMENT NUMBER DATE COUNTRY INITIAL CLASS Yes AJ No Yes AK No Yes AL No Yes AM No OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Press Release, "Parkervision, Inc. Announces First Quarter Financial Results," Parkervision Marketing and ΑN <u>29</u> Manufacturing Headquarters, 3 Pages (April 29, 1997). Press Release, "NEC and Parkervision Make Distance Learning Closer," NEC America, 2 Pages (June 18, AO 29 1997). Press Release, "Parkervision Supplies JPL with Robotic Cameras, Cameraman Shot Director for Mars Mission," AP <u>29</u> Parkervision Marketing and Manufacturing Headquarters, 2 pages (July 8, 1997). Press Release, "ParkerVision and IBM Join Forces to Create Wireless Computer Peripherals," Parkervision AQ 29 Marketing and Manufacturing Headquarters, 2 Pages (July 23, 1997).

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Marketing and Manufacturing Headquarters, 3 Pages (July 31, 1997).

Press Release, "ParkerVision, Inc. Announces Second Quarter and Six Months Financial Results," Parkervision

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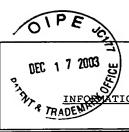
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APPLICANTS
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| | AA30 | 5,555,453 | 09/19 | 996 | Kajin | noto et al. | | | | |
| | AB30 | 5,557,641 | 09/19 | 996 | Wein | berg | | | | |
| | AC30 | 5,557,642 | 09/19 | 996 | Willia | ams | | DE | CEN | /ED |
| | AD30 | 5,579,341 | 11/19 | 996 | Smitl | n et al. | | RE | | VLD |
| | AE30 | 5,579,347 | 11/19 | 996 | Lindo | quist <i>et al.</i> | | | C 1 8 | 2003 |
| | AF30 | 5,584,068 | 12/19 | 996 | Mohi | ndra | | | | |
| | AG30 | 5,592,131 | 01/19 | 997 | Labre | eche <i>et al</i> . | | Tochr | hloay Ce | nter 2600 |
| | AH30 | 5,602,847 | 02/19 | 997 | Paga | ino <i>et al</i> . | | 160111 | 10,09, 0 | |
| - | Al30 | 5,602,868 | 02/19 | 02/1997 Wilson | | | | | | |
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| | AN | and Manuf | acturing | Headquarte | rs, 2 Pa | nounces Private Placer ages (September 8, 19 | 97). | -3- | | |
| | AO | 30 Manufactu | ring Head | dquarters, 2 | Pages | (October 24, 1997). | | | v 10.200 | |
| | AP | | | | | nounces Third Quarter (October 30, 1997). | Financ | ial Results," P | arkervision I | Marketing and |
| | AQ | 30 Press Rela | ease, "Pa and Man | rkerVision A ufacturing H | Announ leadqu | ces Breakthrough in W arters, 3 Pages (Decen | ireless nber 10 | Radio Freque 0, 1997). | ency Techno | logy," Parkervisio |
| | AR | Press Rela 30 President, Pages (Jan | Licensing | g - Wireless | nc. Ana Techn | nounces the Appointme ologies," Parkervision I | ent of Jo Marketi | oseph F. Skov ing and Manuf | ron to the Practuring Hea | osition of Vice adquarters, 2 |
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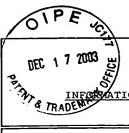
TION DISCLOSURE STATEMENT

ATTY. DOCKET NO. APPLICATION NO. 1744.0140006 10/086,250

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| | AA31 | 5,60 | 4,732 | 02/19 | 997 | Kim | et al. | | | | |
| | AB31 | 5,60 | 8,531 | 03/19 | 97 | Hono | a et al. | | ļ | | |
| : | AC31 | 5,61 | 0,946 | 03/19 | 997 | Tana | ka <i>et al.</i> | | | A-11 | /ED |
| | AD31 | RE: | 35,494 | 04/19 | 97 | Nicol | lini | | R | CEN | |
| | AE31 | 5,61 | 7,451 | 04/19 | 997 | Mimura et al. | | | ļ | EC 182 | hn3 |
| | AF31 | - | 9,538 | 04/19 | | Sempel et al. | | | + | | |
| | AG31 | _ | 1,455 | + | 04/1997 Rogers et al. | | | | Techr | iblogy Cer | ter 2600 |
| | AH31 | | 0,227 | 05/19 | | | | 211 11 | 160111 | 101097 00. | |
| | Al31 | 5,64 | 0,415 | 06/19 | 6/1997 Pandula | | | | <u> </u> | | |
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| | AJ | | | | | | | | | | Yes No |
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| | AN | <u>31</u> | Press Releas Strategic Foo (January 27, | cus Ani | nounced in I | nnoun Decem | ces Existing Agreement ber," Parkervision Mark | t with IB ceting ar | M Terminate nd Manufactu | s Company uring Headqua | Continues with arters, 2 Pages |
| | AO | <u>31</u> | Press Relea Manufacturir | se, "La ng Head | boratory Te dquarters, 2 | sts Ver Pages | ify Parkervision Wireles (March 3, 1998). | ss Techi | nology," Park | kervision Mark | keting and |
| | AP | 31 | Press Relea | se, "Pa nd Man | rkervision, I ufacturing H | nc. An leadqu | nounces Fourth Quarter arters, 3 Pages (March | r and Ye 5, 1998 | ear End Fina 3). | ncial Results, | " Parkervision |
| | AQ | <u>31</u> | | | | | d Editors' Pick of Show (April 15, 1998). | for NAE | 3 98," Parker | vision Marketi | ing and |
| | AR | 31 | Press Relea Manufacturir | se, "Pa ng Head | rkervision A | nnoun Pages | ces First Quarter Finance (May 4, 1998). | cial Res | sults," Parker | vision Market | ing and |
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ATTY. DOCKET NO. APPLICATION NO. 1744.0140006 10/086,250

APPLICANTS Sorrells et al.

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| | AA32 | 5,64 | 0,424 | 06/19 | 97 | Bana | ivong et al. | | | | |
| | AB32 | 5,64 | 0,428 | 06/19 | 97 | Abe | et al. | | | | |
| | AC32 | 5,64 | 0,698 | 06/19 | 97 | Sher | et al. | | Df | PEN | VED |
| | AD32 | 5,64 | 8,985 | 07/19 | 97 | Bjere | ede et al. | | H | ECET | ALD |
| | AE32 | 5,65 | 0,785 | 07/19 | 97 | Roda | al | | ļ | 1 - 1 - R | 2003 |
| | AF32 | 5,66 | 31,424 | 08/19 | 97 | Tang | | | ' | JLG I O | 2003 nter 2600 |
| | AG32 | 5,66 | 3,878 | 09/19 | 97 | Walk | er | | Tech | holoay Ce | nter 2600 |
| | AH32 | 5,66 | 3,986 | 09/19 | 97 | Striff | ler | | 10011 | 101097 | |
| | AI32 | 5,66 | 8,836 | 09/19 | 997 | Smit | n et al. | | | | |
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| | AJ | | | | | | | | | | Yes No |
| | AK | | | | | | | | | | Yes No |
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| | AN | <u>32</u> | Marketing an | d Man | ufacturing H | leadqu xpand | T2DATA' Introduced in Rarters, 3 Pages (July 9, | 1998). | | | |
| | | <u> </u> | Headquarters | | | | | | | | |
| | AP | <u>32</u> | Press Releas Marketing an | se, "Pa Id Man | rkervision A ufacturing H | Ieadqu | ces Second Quarter and arters, 4 Pages (July 30 | , 1998) | onth Financia | II Results," Pa | arkervision |
| | AQ | <u>32</u> | Press Releas Marketing an | se, "Pa id Man | rkervision A ufacturing H | innoun leadqu | ces Third Quarter and N arters, 3 Pages (Octobe | ine Mo r 30, 19 | nth Financial 998). | Results," Pa | rkervision |
| | AR | <u>32</u> | Press Releas Marketing ar | se, "Qu nd Man | uestar Infoccuring H | omm, li leadqu | nc. Invests \$5 Million in I arters, 3 Pages (Decem | Parken ber 2, 1 | vision Commo 1998). | on Stock," Pa | ırkervision |
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ATTY. DOCKET NO. 1744.0140006 APPLICATION NO. 10/086,250

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| | AA33 | + | 0,078 | 10/19 | 997 | Ariie | | | | | | | |
| | AB33 | | 0,418 | 10/19 | 997 | Croft | et al. | | | | | | |
| | AC33 | _ | 9,413 | 11/19 | 997 | Jarai | nillo <i>et al</i> . | | D | -OFH | /ED | | |
| | AD33 | 5,69 | 9,006 | 12/19 | 997 | Zele | et al. | | <u> Ri</u> | ECE! | VED | | |
| | AE33 | 5,70 | 5,955 | 01/19 | | | burg et al. | | | DEC 1 8 | | | |
| | AF33 | 5,71 | 0,998 | 01/19 | 1998 Opas | | | | | | | | |
| | AG33 | 5,71 | 4,910 | | | Skoc | zen et al. | | Toch | hology Ge | nter 2600 | | |
| | AH33 | 5,71 | 5,281 | | | 02/1998 | | 8 Bly et al. | | | 16011 | 101097 0 | |
| | AI33 | 5,72 | 21,514 02/199 | | 998 | Croc | kett <i>et al.</i> | | | | | | |
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| | AN | 33 | Press Relea Headquarter | se, "Pa s, 2 Pa se. "Pa | arkervision A ages (March | odds Tv 5, 199 | vo New Directors," Par | rkervision M | Aarketing a | | uring | | |
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| | AA34 | 5,72 | 24,002 | 03/19 | 998 | Hulio | k | | | |] |
| | AB34 | 5,72 | 24,653 | 03/19 | 998 | Bake | r et al. | | | | |
| | AC34 | 5,72 | 9,577 | 03/19 | 998 | Cher | 1 | | | ECE | VED |
| | AD34 | 5,72 | 9,829 | 03/19 | 998 | Talw | ar et al. | | | | VLD |
| | AE34 | 5,73 | 32,333 | 03/19 | 998 | Cox | et al. | | | DEC 1 | 2003 |
| | AF34 | 5,73 | 6,895 | 04/19 | 998 | Yu e | t al. | | | DEG 1 | |
| | AG34 | 5,73 | 7,035 | 04/19 | 998 | Rotz | oll | | Too | hadaay | Center 2600 |
| | AH34 | 5,74 | 2,189 | 04/1998 Yoshida et al. | | | | 100 | 111101097 | | |
| | Al34 | 5,74 | 8,683 | 05/19 | 05/1998 Smith <i>et al</i> . | | | | | | |
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| | AN | <u>34</u> | Reeves, R.J. Brothers Lim | D., "Thited, V | ne Recordino ol. 31, No. 3 | g and (| Collocation of Waveform 130-137 (March 1959) | ıs (Par | t 1)," Electron | ic Engineerir | og, Morgan |
| | AO | <u>34</u> | Reeves, R.J. Brothers Lim | D., "Thited, V | ne Recordino ol. 31, No. 3 | g and (374, pp | Collocation of Waveform 204-212 (April 1959). | ns (Par | t 2)," Electron | ic Engineerir | og, Morgan |
| | AP | <u>34</u> | | | | | cond-Pulse Generator v Vol. 11, No. 1, pp. 21-2 | | | | valanche |
| | AQ | <u>34</u> | Riad, S.M. ar | nd Nah Interna | iman, N.S., ' ational Micro | "Model owave | ing of the Feed-through Symposium Digest, IEE | Widet E, pp. | oand (DC to 12 267-269 (June | 2.4 Ghz) Sar e 27-29, 197 | npling-Head," 3). |
| | AR | <u>34</u> | Rizzoli, V. et Microwave T | al., "C heory a | omputer-Aid and Techniq | led Noi jues, IE | se Analysis of MESFET EEE, Vol. 37, No. 9, pp. | and F 1401-1 | IEMT Mixers," I410 (Septemi | ' IEEE Trans ber 1989). | actions on |
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Yes

No Yes

No Yes

No Yes

No

ATTY. DOCKET NO. APPLICATION NO. 1744.0140006 10/086,250 FORM PTO-1449 APPLICANTS Sorrells et al. RMATION DISCLOSURE STATEMENT GROUP FILING DATE 2686 March 4, 2002 **U.S. PATENT DOCUMENTS EXAMINER** FILING DATE INITIAL DATE NAME **CLASS** SUB-DOCUMENT **CLASS** NUMBER **AA35** 06/1998 Sanderford, Jr. RE 35,829 06/1998 Comte et al. AB35 5,760,645 06/1998 Clark AC35 5,764,087 06/1998 Wang AD35 5,767,726 06/1998 Faulk et al. AE35 5,768,118 DEC 1 8 2003 06/1998 Wang et al. AF35 5,771,442 5,777,692 07/1998 Ghosh AG35 Technology Center 2600 07/1998 Smith AH35 5,777,771 AI35 5,786,844 07/1998 Rogers et al. FOREIGN PATENT DOCUMENTS **EXAMINER** COUNTRY **CLASS** SUB-TRANSLATION INITIAL DOCUMENT NUMBER DATE **CLASS** AJ AK AL. AM OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Rowe, H.E., Signals and Noise in Communication Systems, D. Van Nostrand Company, Inc., Princeton, New ΑN <u>35</u> Jersey, including, for example, Chapter V, Pulse Modulation Systems (1965). Rücker, F. and Dintelmann, F., "Effect of Antenna Size on OTS Signal Scintillations and Their Seasonal AO 35 Dependence," Electronics Letters, IEE, Vol. 19, No. 24, pp. 1032-1034 (November 24, 1983).

Russell, R. and Hoare, L., "Millimeter Wave Phase Locked Oscillators," Military Microwaves '78 Conference AP <u>35</u> Proceedings, Microwave Exhibitions and Publishers, pp. 238-242 (October 25-27, 1978). Sabel, L.P., "A DSP Implementation of a Robust Flexible Receiver/Demultiplexer for Broadcast Data Satellite Communications," The Institution of Engineers Australia Communications Conference, Institution of Engineers, AQ <u>35</u> Australia, pp. 218-223 (October 16-18, 1990).

Salous, S., "IF digital generation of FMCW waveforms for wideband channel characterization," IEE Proceedings-

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I, IEE, Vol. 139, No. 3, pp. 281-288 (June 1992).

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No Yes

No Yes

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APPLICATION NO. ATTY. DOCKET NO. 10/086,250 1744.0140006 FORM PTO-1449 APPLICANTS SANT & TRADEN Sorrells et al. ON DISCLOSURE STATEMENT GROUP FILING DATE 2686 March 4, 2002 **U.S. PATENT DOCUMENTS EXAMINER** FILING DATE CLASS SUB-NAME DATE **DOCUMENT** INITIAL CLASS NUMBER Fertner 5,793,801 08/1998 **AA36** Claydon et al. 5,793,818 **AB36** 08/1998 09/1998 Zuckerman AC36 5.802.463 Cafarella et al. AD36 5,809,060 09/1998 Fernandez et al. 10/1998 AE36 5,818,582 AF36 5,825,254 10/1998 Lee Sundegård 11/1998 AG36 5,834,985 Technology Center 2600 Hotto 5,864,754 01/1999 **AH36** Bonds 5,881,375 03/1999 AI36 **FOREIGN PATENT DOCUMENTS EXAMINER** TRANSLATION CLASS SUB-COUNTRY DOCUMENT NUMBER DATE INITIAL CLASS AJ AK AL AM OTHER (Including Author, Title, Date, Pertinent Pages, etc.) 36 ΑN 36 AO Vol. 71, No. 8, pp. 1008-1009 (August 1983).

"Sampling Loops Lock Sources to 23 Ghz," Microwaves & RF, Penton Publishing, p. 212 (September 1990). Sasikumar, M. et al., "Active Compensation in the Switched-Capacitor Biquad," Proceedings of the IEEE, IEEE, Saul, P.H., "A GaAs MESFET Sample and Hold Switch," Fifth European Solid State Circuits Conference-AΡ <u>36</u> ESSCIRC 79, IEE, pp. 5-7 (1979). Shen, D.H. et al., "A 900-MHZ RF Front-End with Integrated Discrete-Time Filtering," IEEE Journal of Solid-State Circuits, IEEE Solid-State Circuits Council, Vol. 31, No. 12, pp. 1945-1954 (December 1996). AQ 36 Shen, X.D. and Vilar, E., "Anomalous transhorizon propagation and meteorological processes of a multilink path," Radio Science, American Geophysical Union, Vol. 30, No. 5, pp. 1467-1479 (September-October 1995). AR 36

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| EXAMINER INITIAL | | | CUMENT MBER | DATE | - " | NAM | E | | CLASS | SUB- CLASS | FILING DATE | |
| | AA37 | 5,89 | 2,380 | 04/19 | 99 | Quist | | | | | ļ | |
| | AB37 | 5,89 | 4,239 | 04/19 | 99 | Bona | ccio et al. | | | | <u> </u> | |
| | AC37 | 5,89 | 6,562 | 04/19 | 99 | Hein | onen | | | | | |
| | AD37 | 5,90 | 0,747 | 05/19 | 99 | Brau | ns | | $-\mathbf{R}$ | ECEI | VED. | |
| | AE37 | 5,90 | 1,054 | 05/19 | 999 | Leu et al. | | | | | | |
| | AF37 | 5,90 | 1,187 | 05/19 | 99 | linuma | | | | EC 1 8 | <u> 2003 </u> | |
| | AG37 | 5,90 | 1,344 | 05/19 | 999 | Opas | | | | ļ., ,, | -1 OCOO | |
| | AH37 | 5,90 | 1,347 | 05/1999 Chambers <i>et al</i> . | | | | Technology Center 2600 | | | | |
| | Al37 | 5,90 | 1,348 | 05/19 | 99 | Bang | et al. | | | | | |
| | | | | | FOREIGN | PAT | ENT DOCUMENTS | | | ., | 1 | |
| EXAMINER INITIAL | | DOG | CUMENT NUM | IBER | DATE | | COUNTRY | | CLASS | SUB- CLASS | TRANSLATION | |
| | AJ | | | | | | | | | | Yes No | |
| | AK | | | | | | | | | | Yes No | |
| | AL | | | | | | | | | | Yes No | |
| | AM | | - | | | | | | | | Yes No | |
| | | <u> </u> | ОТН | ER (in | cluding Aut | hor, T | itle, Date, Pertinent P | ages, et | c.) | | | |
| | AN | <u>37</u> | Mechanisms | s," Elec | tronics Lette | ers, IEE | Behaviour of Radio Cha E, Vol. 29, No. 17, pp. 1 | 1582-158 | 3 (August 1 | 9, 1993). | | |
| | AO | <u>37</u> | of the parab | olic Fa | uation and R | av Tra | Spherical Diffraction an acing Methods," <i>IEE Coave propagation</i> , IEE, p | olloquium | on Commo | n modeling te | con Path With aid echniques for | |
| | AP | <u>37</u> | Shen, X. and Electronics | d Vilar, Letters | E., "Path los IEE, Vol. 32 | ss stat 2, No. | istics and mechanisms 3, pp. 259-261 (Februa | of transl | norizon prop 16). | pagation over | a sea path," | |
| | AQ | <u>37</u> | Shen, D. et Circuits Con | al., "A s | 900 MHZ Int e, IEEE, Vol | egrate . 39, p | d Discrete-Time Filterir p. 54-55 and 417 (Febr | ng RF Fro ruary 199 | ont-End ," <i>IE</i> 6). | EE Internatio | onal Solid State | |
| | AR | <u>37</u> | Spillard, C. Sixth Internal | ational | X-Band Trop Conference | oosphe on An | eric Transhorizon Propa tennas and Propagation | agation U | Inder Differi 189) <i>Part 2: F</i> | ng Meteorolo Propagation, l | gical Conditions," EE, pp. 451-455 | |
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ATTY. DOCKET NO. APPLICATION NO. 1744.0140006 10/086,250

APPLICANTS Sorrells et al.

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| | AA38 | 5,90 | 1,349 | 05/19 | 999 | Gueç | naud et al. | | | | |
| | AB38 | 5,90 | 3,178 | 05/19 | 999 | Miya | suji <i>et al</i> . | | | | |
| | AC38 | 5,90 | 3,187 | 05/19 | 999 | Clave | erie et al. | | | | |
| | AD38 | 5,90 | 3,196 | 05/19 | 999 | Salvi | et al. | | │ RF | CEIV | ED_ |
| | AE38 | 5,90 | 3,421 | 05/19 | 999 | Furut | ani et al. | | 1 11 | <u> </u> | |
| | AF38 | 5,90 | 3,553 | 05/19 | 05/1999 | | moto <i>et al</i> . | | ם | C 1 8 2 | 103 |
| | AG38 | 5,90 | 3,595 | 05/19 | 05/1999 | | ki | | | | 0000 |
| | AH38 | 5,90 | 3,609 | 05/19 | | | ol et al. | | Techn | ology Cer | ter 2000 |
| | Al38 | 5,90 | 3,827 | 999 | Kenn | an <i>et al</i> . | | | | | |
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| EXAMINER INITIAL | | DOC | CUMENT NUM | BER | DATE | | COUNTRY | | CLASS | SUB- CLASS | TRANSLATION |
| | AJ | | | | | | | | | | Yes No |
| | AK | | | | | | | | | | Yes No |
| | AL | | | | | | | | | | Yes No |
| | AM | | | | | | | | | | Yes No |
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| | AN | <u>38</u> | Stafford, K.R Vol. SC-9, No | . et al. o. 6, pp | , "A Complet o. 381-387 (I | te Mon Decem | olithic Sample/Hold Am ber 1974). | nplifier, | " IEEE Journal | of Solid-Sta | te Circuits, IEEE, |
| | AO | <u>38</u> | Staruk, W. J. 211, 213, 21 | . et al. 5, 217, | , "Pushing H 220 and 22 | IF Data 2 (May | a Rates," <i>Defense Elec</i> 1985). | tronics | , EW Commur | ications, Vol | . 17, No. 5, pp. |
| | AP | <u>38</u> | Stephenson, pp. 106-110 | A.G., ' (March | "Digitizing m 1 27, 1972). | ultiple | RF signals requires an | optimi | um sampling ra | ate," Electron | ics, McGraw-Hill, |
| | AQ | <u>38</u> | Sugarman, R American Ins | titute o | mpling Oscill of Physics, V | oscop ol. 28, | e for Statistically Varyin No. 11, pp. 933-938 (N | ng Puls Novem | es," <i>The Revie</i> ber 1957). | ew of Scientif | ic Instruments, |
| | AR | 38 | Sylvain, M., " Union, Vol. 2 | Experi 4, No. | imental prob 2, pp. 160-1 | ing of t | multipath microwave ch arch-April 1989). | nannels | s," Radio Scier | ce, Americar | n Geophysical |
| EXAMINER | | | I | | | | | | DATE CONS | IDERED | |

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ATTY. DOCKET NO. 1744.0140006

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| | AA41 | 5,51 | 5,014 | 05/19 | 996 | Trou | man | | | | |
| | AB41 | 5,56 | 3,550 | 10/19 | 996 | Toth | | | | | |
| | AC41 | 5,57 | 4,755 | 11/19 | 996 | Pers | со | | | | |
| | AD41 | 5,60 | 4,592 | 02/19 | 997 | Kotic | is et al. | | RE | CEIV | ED |
| | AE41 | 5,63 | 8,396 | 06/19 | 997 | Klim | ek | | | 2 00 | 62 |
| | AF41 | 5,67 | 5,392 | 10/19 | 997 | Naye | bi et al. | | DE | C 1 8 20 | V3 |
| | AG41 | 5,69 | 4,096 | 12/19 | 997 | Ushi | oku <i>et al.</i> | | - 1 - | la au Cont | br 2600 |
| | AH41 | 5,75 | 7,870 | 05/19 | 998 | Miya | et al. | | Techno | ogy Cent | el 2000 |
| | Al41 | 5,76 | 8,323 | 06/19 | 998 | Kroe | ger <i>et al.</i> | | | | |
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| | AJ | | | | | | | | | | Yes No |
| | AK | | | | | | | | | | Yes No |
| | AL | | | | | | | | | | Yes No |
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| | AN | <u>41</u> | | | | | ve Mixer," <i>16th Europeal</i> per 8-12, 1986). | n Microwav | e Confere | ence, Microw | ave Exhibitions |
| | AO | <u>41</u> | Transactions | on Ult | rasonics, F | erroele | Signal Analysis Based ctrics, and Frequency Co. o. 1, pp. 1-3 (January 1 | Control, IEE | e RF Sam E Ultraso | npling Channo nics, Ferroeld | el," <i>IEEE</i> ectrics, and |
| | AP | <u>41</u> | Tsividis, Y. a (1985). | nd Ant | ognetti, P. (| (Ed.), <i>C</i> | esign of MOS VLSI Circ | cuits for Te | lecommui | nications, Pre | entice-Hall, p. 304 |
| | AQ | <u>41</u> | Tsividis, Y., ' | Princip | oles of Oper 3, pp. 926-9 | ration a 140 (Au | nd Analysis of Switched gust 1983). | d-Capacitor | Circuits, | ' Proceedings | s of the IEEE, |
| | AR | <u>41</u> | and 2.6 Ghz | Band F | Radio Comr | nunicat | study on a Direct Conve ion Systems," <i>41st IEEE</i> 7-462 (May 19-22, 1991 | ∃ Vehicular | ver Front Technolo | -End for 280 | MHZ, 900 MHZ, ce, IEEE |
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| | AA42 | 5,7 | 70,985 | 06/19 | 998 | Ushi | oku <i>et al.</i> | | | | |
| | AB42 | 5,7 | 78,022 | 07/19 | 998 | Wall | еу | | | | |
| | AC42 | 5,8 | 12,546 | 09/19 | 998 | Zhou | et al. | | | | |
| | AD42 | 5.8 | 18,869 | 10/19 | 998 | Miya | et al. | | RF | CEL | /ED |
| | AE42 | +- | 44,449 | 12/19 | 998 | | o et al. | | 0 | | |
| | AF42 | | 72,446 | 02/19 | | Cran | ford, Jr. et al. | | D | EC 182 | 003 |
| | AG42 | 1 | 09,447 | 06/19 | | Cox | | | | | |
| <u> </u> | AH42 | 1 | 33,467 | 08/19 | | | er et al. | | Techn | ology Cer | ter 2600 |
| | Al42 | + | 52,895 | 09/19 | | | une, Jr. et al. | | | <u> </u> | |
| | I AI42 | 1 0,0. | | 00/10 | | • | ENT DOCUMENTS | 1. | | | |
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| | AJ | | | | | | | | | 02.00 | Yes No |
| T | AK | | | | | | | | | | Yes No |
| | AL | | | | | | | | | | Yes No |
| | АМ | | - | | | | | | | | Yes No |
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| | AN | <u>42</u> <u>42</u> | Electronic De | , M.M. | " <i>IEDM Tech</i> .J.L., "Asymr | netric | d Subpicosend Optoelec Digest, IEEE, pp. 597-60 signal level distribution d -1146 (May 28, 1998). | 0 (Decemi | ber 5-7, 19 | 983). | |
| | АР | 42 | | | | | Characterization of tropos I, pp. 318-319 (February | | | ers from radi | osonde data," |
| | AQ | <u>42</u> | Verdone, R., Environments pp. 1027-103 | s," <i>IEE</i> | E Transaction | ons on | ysis for Short-Range Col Vehicular Technology, II | mmunicati EEE Vehid | on Systen cular Tech | ns at 60 Ghz nology Socie | in ATT Urban ety, Vol. 46, No. 4 |
| | AR | <u>42</u> | | Modul | ator, Thesis | for De | Receiver Architecture us gree of Master's of Engir | | | | |
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| | AA43 | 5,960,033 | 09/1 | 999 | Shiba | ano et al. | | | | |
| | AB43 | 6,041,073 | 03/2 | 000 | David | dovici et al. | | | | |
| | AC43 | 6,054,889 | 04/2 | 000 | Koba | yashi | | ום | ECEI | VED |
| | AD43 | 6,084,922 | 07/2 | 000 | Zhou | et al. | | | 404 | YLD |
| | AE43 | 6,125,271 | 09/2 | 000 | Rowl | and et al. | | | DEC 18 | znn3 |
| | AF43 | 6,147,340 | 11/2 | 000 | Levy | | | | 1 | |
| | AG43 | 6,147,763 | 11/2 | 000 | Stein | lechner | | Tech | idology Ce | enter 2600 |
| | AH43 | 6,150,890 | 11/2 | 000 | Dam | gaard et al. | | 1001 | ., 5.5 37 | |
| | Al43 | 5,126,682 | 06/1 | 992 | Wein | berg et al. | | | | |
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| | | | OTHER (In | cluding Aut | thor, Ti | tle, Date, Pertinent Pa | ages, e | tc.) | | |
| | AN | 43 Inter | r, E. <i>et al.</i> , "A C rnational Confe 1997). | omprehensi rence on An | ive/Sele tennas | ective MM-Wave Satell and Propagation, Elec | ite Dow tronics | nlink Experin Division of th | nent on Fade e IEE, pp. 2. | Dynamics," <i>Tenti</i> 98-2.101 (April 14 |
| | AO | 43 Proc | r, E. <i>et al.</i> , "A S ceedings No. 3 3-1 - 8-16 (Octo | 46: Characte | eristics | LOS Atmospheric Tran of the Lower Atmosphe | smittar ere Influ | nce at 19 Ghz Jencing Radio | .," AGARD Co Wave Propa | onference agation, AGARD, |
| | АР | 43 Vila | r, E. and Smith E <i>Transactions</i> | H., "A Theo | oretical s and F | and Experimental Stud Propagation, IEEE, Vol. | ly of An AP-34 | igular Scintilla , No. 1, pp. 2 | ations in Eart -10 (January | n Space Paths," 1986). |
| | AQ | | | | | rizon Experiment at 11. s Division of the IEE, p | | | | |
| | AR | 43 Vilai 8, N | r, E. and Matthoo. 20, pp. 509- | ews, P.A., "A 511 (Octobe | Amplituer 5, 197 | de Dependence of Fred 72). | quency | in Oscillators | ," Electronics | s Letters, IEE, Vo |
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Page 45 of 58 ATTY. DOCKET NO. APPLICATION NO. 10/086,250 1744.0140006 1 7 2003 FORM PTO-1449 TAL P TRADEMA APPLICANTS Sorrells et al. TION DISCLOSURE STATEMENT GROUP FILING DATE 2686 March 4, 2002 **U.S. PATENT DOCUMENTS EXAMINER** FILING DATE CLASS SUB-DATE NAME DOCUMENT INITIAL **CLASS** NUMBER 09/2000 Traylor **AA45** 6,121,819 Vice et al. 11/2000 **AB45** 6,144,236 11/2000 Durec AC45 6,144,846 01/2001 Mitama AD45 6,175,728 B1 01/1998 Alelyunas et al. AE45 5,705,949 2003 8 03/1999 Assard et al. AF45 5,883,548 French et al. 11/1984 AG45 4,484,143 Technology Genter 2600 Williams **AH45** 5,841,324 11/1998 08/1989 Asahi et al. AI45 4,855,894 **FOREIGN PATENT DOCUMENTS EXAMINER TRANSLATION** SUB-COUNTRY CLASS DOCUMENT NUMBER DATE INITIAL **CLASS** Yes AJ AK ALAM OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Vilar, E. and Moulsley, T.J., "Comment and Reply: Probability Density Function of Amplitude Scintillations," *Electronics Letters*, IEE, Vol. 21, No. 14, pp. 620-622 (July 4, 1985). <u>45</u> AN Vilar, E. et al., "Comparison of Rainfall Rate Duration Distributions for ILE-IFE and Barcelona," Electronics ΑO <u>45</u> Letters, IEE, Vol. 28, No. 20, pp. 1922-1924 (September 24, 1992). Vilar, E., "Depolarization and Field Transmittances in Indoor Communications," Electronics Letters, IEE, Vol. 27, AΡ <u>45</u> No. 9, pp. 732-733 (April 25, 1991). Vilar, E. and Larsen, J.R., "Elevation Dependence of Amplitude Scintillations on Low Elevation Earth Space Paths," Sixth International Conference on Antennas and Propagation (ICAP 89) Part 2: Propagation, IEE, pp. AQ 45 150-154 (April 4-7, 1989).

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Yes

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Page 46 of 58 APPLICATION NO. 10/086,250 GROUP 2686

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APPLICANTS Sorrells et al.

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| | Al52 | 5,79 | 3,817 | 08/19 | 998 | Wilso | on | - | | | |
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